PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Olida And Laberwork Reduction Act of 199	o, no persone are required to i	copona to a component of this	official discourse and a value of the contract training			
FEE TRANS		Complete if Known				
FEE IRANS	DIVILLIAL	Application Number	10/808854			
for FY 2	2004	Filing Date	March 24, 2004			
Effective 10/01/2003. Patent fees are su		First Named Inventor	David Mckinnon			
		Examiner Name	Unknown			
Applicant claims small entity status.	See 37 CFR 1.27	Art Unit	1714			
TOTAL AMOUNT OF PAYMENT	(\$) 0.00	Attomory Docket No.	DC7000USCNT			

.5174274	Attorney Docket No.   DC70000SCN1								
METH	OD OF PAY	MENT (check all that	apply)	FEE CALCULATION (continued)					
Check	Credit card	Money Othe	er None			ONAL		S	
Deposit /	Account:	— Oluci		Large		Small			
Deposit				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number		04-1928		1051	130	2051		Surcharge - late filing fee or oath	
Deposit Account	E. I. du Pon	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Name	s authorized to	: (check all that apply)		1053	130	1053	130	Non-English specification	
	(s) indicated be		verpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<del></del>
= °	• •	s) or any underpayment of	fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee		low, except for the filing		1805	1,840*	1805	1,840*		
to the above-id				1251	110	2251	55	Extension for reply within first month	
		ALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FI Large Entity S				1253	950	2253	475	Extension for reply within third month	
Fee Fee	Fee Fee	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) 1001 770	Code (\$) 2001 385	Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month	<b></b>
1002 340	2002 170	Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530	2003 265	Plant filing fee	$\vdash$	1402	330	2402	165	Filing a brief in support of an appeal	$\square$
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	s	UBTOTAL (1) (\$)	0.00	1452	110	2452	55	Petition to revive - unavoidable	
				1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA C	CLAIM FEES	S FOR UTILITY AND	m	1501	1,330	2501	665	Utility issue fee (or reissue)	
		Extra Claims below		1502	480	2502	240	Design issue fee	
Total Claims Independent	-20		:==	1503	640	2503	320	Plant issue fee	
Claims	-3* ndent		: ===	1460	130	1460	130	Petitions to the Commissioner	
Multiple Depe	_	290.00	ئـــــــــــــــــــــــــــــــــــــ	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86	2202 9 2201 43		excess of 3	1809	770	2809	9 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 145			1810	770	2810	385	For each additional invention to be	
1204 86	2204 43	** Reissue independen over original patent	t claims	1801	770	2801	385	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	
4005 40	2205 9	** Reissue claims in ex	roses of 20	1801	900	1802	-		
1205 18	2205 9	and over original pate		1.552	000	1 .552	500	of a design application	
	SHE	STOTAL (2) (\$)	0.00		fee (sp				<del></del>
**or numbe		d, if greater; For Reissues	, see above	*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	0.00
SUBMITTED	ву	, .	1					(Complete (if applicable))	
	Built LED B1								

(302) 992-3230 31,521 Telephone Name (Print/Type) (Attorney/Agent) Date Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### APPLICATION OF:

**DAVID MCKINNON** 

CASE NO.: DC7000USCNT

APPLICATION NO.: 10/808854

CONFIRMATION NO.: 6675

**GROUP ART UNIT: 1714** 

**EXAMINER: UNKNOWN** 

**FILED: MARCH 24, 2004** 

FOR: SOLVENT-BASED RECOVERY AND RECYCLE OF POLYAMIDE MATERIAL

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO/SB/08. . Copies of the information can be found in parent Application No. 09/876,244, filed June 7, 2001, now abandoned.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

WILLIAM H. HAMBÝ

ATTORNEY FOR APPLICANT

Registration No.: 31,521 Telephone: (302) 992-3230 Facsimile: (302) 992-3257

Enclosures

S:\Patent Documents\Eng. Polymers\DC\DC7000\DC7000CONT\IDS1.doc

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PATENTS distitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

3

Complete if Known **Application Number** 10/808854 March 24, 2004 Filing Date **David Mckinnon** First Named Inventor Art Unit 1714 **Examiner Name** Unknown Attorney Docket Number DC7000USCNT

Examiner	Cite	C	ocument Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. <sup>1</sup>	Nun	nber-Kind Code <sup>2 (I known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
		US-	2,742,440	04-17-1956	Stott et al.	
		US-	3,696,058	10-03-1972	Teti	
		US-	4,146,704	03-27-1979	Seki et al	
		US-	5,280,105	01-18-1994	Moran, Jr.	
		US-	5,430,068	07-04-1995	Subramanian	
		US-	5,840,773	11-24-1998	Booij et al.	
		US-	5,849,804	12-15-1998	Sarian et al.	
		US-	6,898,063	04-27-1999	Stefandi	
		US-	6,036,726	03-14-2000	Yang et al.	
		US-	6,083,283	07-04-2000	Berkstresser IV et al.	
		US-				
		US-				
		US-				
_		US-				
		US-				
		US-				
		US-				
		US-				
		US-				<del> </del>

		FORE	<u>IGN PATENT DOCU</u>	MENTS		
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		EP0 603 434 A1	06-29-1994			
		DD7994	10-18-1954	Domin		
		JP 52 107047	09-08-1977			
		FR 1 347 566	11-18-1963	Eductions Platiques		
		FR 1 569 229	05-30-1969	Veb Chemiefaserwerk	•	
		FG 921 667	03-30-1963	Monsanto		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Subs	Substitute for form 1449/PTO		Complete if Known		
				Application Number	10/808854
IN	FORMATION	N DISC	OCUDE	Filing Date	March 24, 2004
				First Named Inventor	David Mckinnon
S	TATEMENT			Art Unit	1714
(Use as many sheets as necessary)		Examiner Name	Unknown		
Sheet	2	of	3	Attorney Docket Number	DC7000USCNT

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
_	İ	US-			

		FORE	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		DE 44 21 239	12-22-1994	Ems-Inventa AG		

Examiner	Date	
Signature	Conside	ed

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO Complete if Known Application Number 10/808854 INFORMATION DISCLOSURE Filing Date March 24, 2004 STATEMENT BY APPLICANT First Named Inventor David Mckinnon Art Unit 1714 (Use as many sheets as necessary) Examiner Name Unknown Attorney Docket Number DC7000USCNT Sheet 3 3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Derwent Abstract, De 44 21 239 A1, "Reprocesing High-Molecular Weight Poplyamide 6-Comprises Depolymerisation by Heating Under Pressure with Caprolactam and Water, Followed opt. by Repolymeisation at Elevated Tempt", EMS Inventa AG	
		Derwetn Abtract, "Recovery of Polyamide From Polyamide-Containing Materials., e.g. Carpets", Fischer Ind. GMBH Karl	
		Derwetn Abstract, JP 52-107047 "Fine Powdered Polyamide Resins-Produced by Dissolving in Wter-Containing Alcohols under High Pressure and Temperature, then Cooling", Maruki Shokai KK	
		Derwent Abstract, Fr 1 569 229 "Removal of titanium Dioxide FromPolymers", VEB Chemiefaserwerk Schwarz Wilhelm	
Examiner Signature		Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on <u>6/24/04</u> Date

Ann F. Griffith

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

10/808854

DC7000USCNT, Information Disclosure Statement, Fee Transmittal

Company of the control of the contro

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.